

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: CLW 2 0147 RECEIVED

In re application of: Seema Garde, et al.

Serial No. 09/977, 406 Filed: October 15, 2001 Group No.: 1615 Examiner: Unknown

For: PHARMACEUTICAL PREPARATIONS AND METHODS FOR INHIBITING TUMORS

Assistant Commissioner for Patents Washington D.C. 20231 . Office of Initial Patent Examination's **Customer Service Center**

REQUEST FOR CORRECTED FILING RECEIPT

- 1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- 2. There is an error in that the following data is incorrect:

Foreign Applications

in that the filing receipt should read as follows:

CANADA 2,321,256 10/16/2000 CANADA 2,355,334 08/20/2001

3. Please charge any fees that may be due to Deposit Account No. 06-0308.

Respectfully submitted:

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

Tel. No. (216) 861-5582

Timothy E. Nauman, Reg. No. 32,283 1100 Superior Avenue, 7th Floor

March 28, 2003

Cleveland, Ohio 44114-2518

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/977,406
 10/15/2001
 1615
 6240
 CLW 2 0147
 30
 183
 32

Timothy E. Nauman, Esq. FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP 1100 Superior Avenue, 7th Floor Cleveland, OH 44114-2516

RECEIVED

MAY 0 6 2002

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP CONFIRMATION NO. 4176

UPDATED FILING RECEIPT

OC000000007975648

Date Mailed: 04/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Seema Garde, Montreal, CANADA; Chandra Panchal, London, CANADA; Madhulika Baijal-Gupta, London, CANADA; Jennifer Fraser, London, CANADA; Salam Kadhim, Kirkland, CANADA;

Assignment For Published Patent Application

PROCYON BIOPHARMA INC.;

Domestic Priority data as claimed by applicant

Foreign Applications

CANADA 2,321,256 10/06/2000 CANADA 2,355,224 08/20/2001

If Required, Foreign Filing License Granted 11/16/2001

Projected Publication Date: 08/08/2002

Non-Publication Request: No

Early Publication Request: No

"DOCKETED"



Pharmaceutical preparations and methods for inhibiting tumors

Preliminary Class

424

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





Commissioner for Patents Washington, DC 20231 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4176

SERIAL NUMB 09/977,406		FILING DATE 10/15/2001 RULE	(CLASS 514	GRO	GROUP ART UNIT 1642			ATTORNEY OCKET NO. CLW 2 0147
APPLICANTS									
Seema Ga Chandra P Madhulika Jennifer Fr	ancha Baijal raser,	Montreal, CANADA; al, London, CANADA; I-Gupta, London, CANA London, CANADA; Kirkland, CANADA;	₹DA;						
** CONTINUING DATA **********************************									
CANADA 2 CANADA 2 IF REQUIRED, F	2,321,2 2,355,3	ATIONS ************************************		ED					
** 11/16/2001									
Foreign Priority claimed 35 USC 119 (a-d) conditions wet Allowance Verified and				STATE OR COUNTRY CANADA	SHEETS DRAWING 30		TOTA CLAII 183	MS	INDEPENDENT CLAIMS 32
Acknowledged ADDRESS	Exam	niner's Signature Ini	itials	<i></i>			L		
Timothy E. Naum FAY, SHARPE, F MINNICH & McKI 1100 Superior Av Cleveland ,OH 44	AGAN EE, LL venue,	N, LP , 7th Floor							,
TITLE									
Pharmaceutical preparations and methods for inhibiting tumors									
RECEIVED	EIVED No to charge/credit DEPOSIT ACCOUNT					All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) Other			
1						☐ Credit			